

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

1EW \$

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/770,351	02/02/2004	Duane Keenan JR.	2003P01392US01 1867-0042

MSW  
 Maginot, Moore & Beck  
 Bank One Tower  
 Suite 3000  
 111 Monument Circle  
 Indianapolis, IN 46204

## INTELLECTUAL PROPERTY

REC'D. 8-23-04

IPD 2003P01392US01

DUE DATE 7-3-04

## FORMALITIES LETTER



\*OC000000013539124\*

Date Mailed: 08/16/2004

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 07/23/2004 to the Notice to File Missing Parts (Notice) mailed 05/03/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The statutory basic filing fee is missing.

*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$856 for a Large Entity

- \$770 Statutory basic filing fee.

MAGINOT, MOORE &amp; BECK

- Total additional claim fee(s) for this application is \$86

09/02/2004 DEMMANU1 00000047 192179 10770351

01 FC:1001	770.00 DA
02 FC:1201	86.00 DA

AUG 18 2004 \$86 for 1 independent claims over 3.

DOCKETED  
8/18/04, Jam

RECEIVED

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

*RJL*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Keenan, et al

Examiner: Not Assigned

Serial No.: 10/770,351

Group Art No.: Not Assigned

Filed: February 2, 2004

Docket No. 2003P01392US01

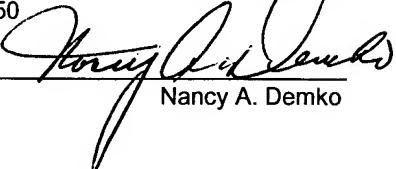
Title: Method and Device for Upgrading  
A Building Control System

Certificate of Mailing Pursuant to 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to: The Assistant Commissioner for Patents, Alexandria, VA. 22313-1450

8/30/04

Date:



Nancy A. Demko

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

This is a Response to the Notice to File Missing Parts of Application dated May 3, 2004 for which a period for response of the latter of two (2) months after the mailing date or two (2) months from the filing date was set to expire July 3, 2004. This is also in Response to the Notice of Incomplete Reply (Nonprovisional) dated August 16, 2004.

Enclosed is a copy of the Notice of Incomplete Reply (Nonprovisional) and a Petition and Fee for Extension of Time.

The Commissioner is authorized to charge the amount of **\$770.00** for the statutory basic filing fee to **Deposit Account No. 19-2179**. Also, the Commissioner is authorized to charge the amount of **\$86.00** for one (1) independent claim over 3 to **Deposit Account No. 19-2179**. If any other fees are due in connection with this paper, the Commissioner is authorized to charge any fees or credit any overpayment to **Deposit Account No. 19-2179**.

Issuance of a formal Filing Receipt is respectfully solicited.

Respectfully submitted,



Michael J. Wallace  
Reg. No. 44,486

Date: 8/30/04

Customer No. 28524  
Siemens Corporation  
(732) 321-3008